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Bib Data Sheet

CONFIRMATION NO. 6025

<b>SERIAL NUMBER</b> 09/894,431	<b>FILING DATE</b> 06/27/2001 <b>RULE</b>	<b>CLASS</b> 345	<b>GROUP ART UNIT</b> 2614	<b>ATTORNEY DOCKET NO.</b> 52126.00017 (Digeo 109.2)
<b>APPLICANTS</b> Loc Nguyen, Issaquah, WA; Paul G. Allen, Mercer Island, WA;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/284,767 04/18/2001 AND A CIP OF 09/703,272 10/31/2000 WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000 AND A CIP OF 09/703,261 10/31/2000 WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 07/24/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		<b>STATE OR COUNTRY</b> WA	<b>SHEETS DRAWING</b> 5	<b>TOTAL CLAIMS</b> 32
Verified and Acknowledged Examiner's Signature _____ Initials _____		<b>INDEPENDENT CLAIMS</b> 7		
<b>ADDRESS</b> Arnold M. de Guzman Squire, Sanders & Dempsey L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043				
<b>TITLE</b> On-remote-control email and other service indicator methods, systems, and devices				
<b>FILING FEE RECEIVED</b> 1376	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

2614

PTO/SB/21 (08-00)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/894,431
Filing Date	June 27, 2001
First Named Inventor	Loc Nguyen
Group Art Unit	2614
Examiner Name	not yet known
Attorney Docket Number	10003.001410 (digeo 109.2)


Total Number of Pages in This Submission

5


**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input checked="" type="checkbox"/> Version w/ Markings to Show Changes Made <input checked="" type="checkbox"/> Preliminary Amendment (Amendment A) <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="text-align: center;"><b>Return receipt postcard</b></p>
<div style="border: 1px solid black; padding: 5px; min-height: 50px;">           Remarks         </div>		

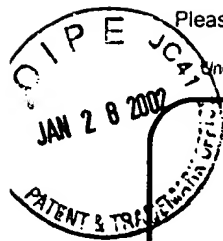
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Arnold M. deGuzman, Reg. No. 39,955 DEGUZMAN OKAMOTO & BENEDICTO, LLP
Signature	
Date	December 28, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>December 28, 2001</u>			
Typed or printed name	Arnold M. deGuzman		
Signature		Date	Dec. 28, 2001

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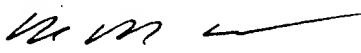
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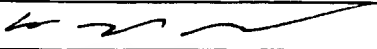
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/894,431	
	Filing Date	June 27, 2001	
	First Named Inventor	Loc Nguyen	
	Group Art Unit	2614	
	Examiner Name	not yet known	
Total Number of Pages in This Submission	9	Attorney Docket Number	10003.001410 (digeo 109.2)

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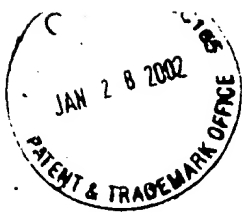
ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Copy of Filing Receipt with Corrections in Red Ink; Exhibits A &amp; B; Return receipt postcard</b>
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Arnold M. deGuzman, Reg. No. 39,955 DEGUZMAN OKAMOTO & BENEDICTO, LLP
Signature	
Date	December 28, 2001

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Typed or printed name	Arnold M. deGuzman	
Signature		Date
		<u>Dec. 28, 2001</u>

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PATENT

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In Re Application of: Loc Nguyen & Paul G. Allen	Examiner: not yet known
Serial No.: 09/894,431	Art Unit: 2614
Filed: June 27, 2001	Atty. Docket No.: 10003.001410 (digeo 109.2)
Title: ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE INDICATOR METHODS, SYSTEMS, AND DEVICES	

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REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed is a copy of the Official Filing Receipt  
received from the U.S.P.T.O. for the above application.

The Filing Receipt contains the following error(s):

1. The "Domestic Priority data as claimed by  
applicant" is erroneously stated as "THIS APPLICATION IS A  
CIP OF 09/703,272 10/31/2000 AND A CIP OF 09/703,261  
10/31/2000 WHICH CLAIMS BENEFIT OF 60/284,767 04/18/2001 AND  
CLAIMS BENEFIT OF 60/238,581 10/06/2000". The Filing  
Receipt should be corrected to indicate the "Domestic  
Priority data as claimed by applicant" as "THIS APPLICATION  
CLAIMS BENEFIT OF 60/284,767 04/18/2001. THIS APPLICATION  
IS A CIP OF 09/703,272 10/31/2000 WHICH CLAIMS BENEFIT OF  
60/238,581 10/6/2000 AND IS A CIP OF 09/703,261 10/31/2000  
WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000". The above

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data is evidenced by page one (1) of the filed specification (a copy of which is enclosed and marked as EXHIBIT A) and the filed utility patent application transmittal (a copy of which is enclosed and marked as EXHIBIT B).

Please issue a corrected Filing Receipt rectifying the above error(s). The requested corrections are indicated in red ink in the enclosed copy of the Filing Receipt.

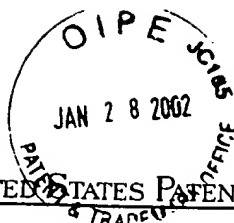
Favorable action is respectfully solicited.

Dated: December 28, 2001 Respectfully submitted,  
Loc Nguyen & Paul G. Allen

By: [Signature]  
Arnold M. de Guzman  
Attorney For Applicant(s)  
Reg. No. 39,955  
DEGUZMAN OKAMOTO & BENEDICTO LLP  
P.O. Box 51900  
Palo Alto, California 94303  
(650) 691-2030  
(650) 691-2032 (FAX)

Enclosure(s)

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified herein, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<u>[Signature]</u>		
Typed or Printed Name:	Arnold M. de Guzman	Dated:	<u>Dec. 28, 2001</u>
Express Mail Mailing Number (optional):			



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/894,431	06/27/2001	2614	1246	52126.00017 (Digeo 109.2)	5	32	7

CONFIRMATION NO. 6025

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Date Mailed: 07/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Loc Nguyen, Issaquah, WA;  
Paul G. Allen, Mercer Island, WA;

## Domestic Priority data as claimed by applicant

~~THIS APPLICATION IS A CIP OF 09/703,272 10/31/2000~~  
~~AND A CIP OF 09/703,261 10/31/2000~~  
~~WHICH CLAIMS BENEFIT OF 60/284,767 04/18/2001~~  
~~AND CLAIMS BENEFIT OF 60/238,581 10/06/2000~~

THIS APPLICATION CLAIMS BENEFIT  
OF 60/284,767 04/18/2001.  
THIS APPLICATION IS A CIP  
OF 09/703,272 10/31/2000  
WHICH CLAIMS BENEFIT OF  
60/238,581 10/06/2000 AND  
IS A CIP OF 09/703,261 10/31/2000  
WHICH CLAIMS BENEFIT OF  
60/238,581 10/06/2000.

## Foreign Applications

If Required, Foreign Filing License Granted 07/24/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

On-remote-control email and other service indicator methods, systems, and devices

Preliminary Class  
345



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Data entry by : AMARE, TSION

Team : OIPE

Date: 07/26/2001



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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

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EXHIBIT A

Docket No. 52126.00017  
Digeo 109.2

ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE INDICATOR  
METHODS, SYSTEMS, AND DEVICES

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Inventors: Loc Nguyen and Paul G. Allen

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Cross-Reference To Related Applications

The present application claims priority to U.S.  
Provisional Application No. 60/284,767, by common inventors,  
Loc Nguyen and Paul G. Allen, filed April 18, 2001, and  
entitled "ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE  
INDICATOR LED AND SOUND". Application No. 60/284,767 is  
fully incorporated herein by reference.

The present application is a continuation-in-part of  
and claims the benefit of U.S. Patent Application Serial  
No. 09/703,272, entitled "USER INTERFACE FOR PERSONALIZED  
ACCESS TO INTERNET-ENABLED TELEVISION," by Paul G. Allen,  
Anthony F. Istvan, and Armando P. Stettner, filed October  
31, 2000, which claims the benefit of U.S. Provisional  
Patent Application Serial No. 60/238,581, entitled "USER  
INTERFACE, SYSTEM, AND METHOD FOR PERSONALIZED ACCESS TO  
INTERNET-ENABLED TV," by Paul G. Allen, Anthony F. Istvan,  
and Armando P. Stettner, filed October 6, 2000.

The present application is a continuation-in-part of  
and claims the benefit of U.S. Patent Application Serial



Docket No. 52126.00017  
Digeo 109.2

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No. 09/703,261, entitled "SYSTEMS AND METHODS FOR  
PERSONALIZED ACCESS TO INTERNET-ENABLED TELEVISION," by  
Paul G. Allen, Anthony F. Istvan, Armando P. Stettner,  
filed October 31, 2000, which claims the benefit of U.S.

5 Provisional Patent Application Serial No. 60/238,581,

entitled "USER INTERFACE, SYSTEM, AND METHOD FOR  
PERSONALIZED ACCESS TO INTERNET-ENABLED TV," by Paul G.

Allen, Anthony F. Istvan, and Armando P. Stettner, filed  
October 6, 2000.

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10 Application Nos. 09/703,272, 09/703,261, and  
60/238,581 are each fully incorporated herein by reference.

#### Technical Field

This disclosure relates generally to interactive  
15 television (iTV) systems, and more particularly but not  
exclusively, to systems, devices, and methods for providing  
notification of electronic mail (email) messages, instant  
messages, and/or other services/information to a user of an  
interactive television system.

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#### Background

An interactive television (iTV) differs from a  
conventional television in that an interactive television  
includes additional information and communication

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EXHIBIT B

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 52126.00017 (Digeo 109.2)

First Inventor Loc Nguyen

Title ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE METHODS, SYSTEMS, AND DEVICES

Express Mail Label No. EL701364195US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 38]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages 2]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).  
c. ☒ unsigned
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of  
(when there is an assignee) Attorney (unsigned)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: Assignment (unsigned); General  
Authorization/Req.Pet.f/Ext. of Time

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

(1) of prior application No.: 09/703,272

(2) of prior application No.: 09/703,261

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

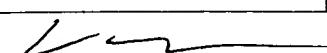
For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Arnold M. de Guzman				
	Squire, Sanders & Dempsey L.L.P.				
Address	600 Hansen Way				
City	Palo Alto	State	CA	Zip Code	94304-1043
Country	USA	Telephone	650.856.6500	Fax	650.843.8777

Name (Print/Type)	Arnold M. de Guzman	Registration No. (Attorney/Agent)	39,955
Signature		Date	June 27, 2001

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